

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

240554US2

SERIAL NO.

10/627,731

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kunihiro AKIYOSHI, et al.

FILING DATE

July 28, 2003

GROUP

2622

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|--------------------|------|------|-------|--------------|-------------------------------|
|                     | AA |                    |      |      |       |              |                               |
|                     | AB |                    |      |      |       |              |                               |
|                     | AC |                    |      |      |       |              |                               |
|                     | AD |                    |      |      |       |              |                               |
|                     | AE |                    |      |      |       |              |                               |
|                     | AF |                    |      |      |       |              |                               |
|                     | AG |                    |      |      |       |              |                               |
|                     | AH |                    |      |      |       |              |                               |
|                     | AI |                    |      |      |       |              |                               |
|                     | AJ |                    |      |      |       |              |                               |
|                     | AK |                    |      |      |       |              |                               |
|                     | AL |                    |      |      |       |              |                               |
|                     | AM |                    |      |      |       |              |                               |
|                     | AN |                    |      |      |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NUMBER | DATE       | COUNTRY                       | TRANSLATION |    |
|----|----|--------------------|------------|-------------------------------|-------------|----|
|    |    |                    |            |                               | YES         | NO |
| YQ | AO | 2002-82806         | 03/22/2002 | JAPAN (with English Abstract) |             | X  |
|    | AP |                    |            |                               |             |    |
|    | AQ |                    |            |                               |             |    |
|    | AR |                    |            |                               |             |    |
|    | AS |                    |            |                               |             |    |
|    | AT |                    |            |                               |             |    |
|    | AU |                    |            |                               |             |    |
|    | AV |                    |            |                               |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

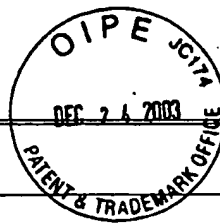
|  |    |  |
|--|----|--|
|  | AW |  |
|  | AX |  |
|  | AY |  |
|  | AZ |  |

☐ Additional References sheet(s) attached

Examiner

Date Considered 2/15/08

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



SHEET 1 OF 1

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|---------------------|----|--------------------|------------|--------------------------|-------|--------------|-------------------------------|
| YQ                  | AA | 6,023,727          | 02/08/2000 | L. F. BARRETT, et al.    |       |              |                               |
| YQ                  | AB | 5,926,636          | 07/20/1999 | G. T. LAM, et al.        |       |              |                               |
| YQ                  | AC | 5,774,720          | 06/30/1998 | K. W. BORGENDALE, et al. |       |              |                               |
| YQ                  | AD | 5,613,101          | 03/18/1997 | A. W. LILICH             |       |              |                               |
|                     | AE |                    |            |                          |       |              |                               |
|                     | AF |                    |            |                          |       |              |                               |
|                     | AG |                    |            |                          |       |              |                               |
|                     | AH |                    |            |                          |       |              |                               |
|                     | AI |                    |            |                          |       |              |                               |
|                     | AJ |                    |            |                          |       |              |                               |
|                     | AK |                    |            |                          |       |              |                               |
|                     | AL |                    |            |                          |       |              |                               |
|                     | AM |                    |            |                          |       |              |                               |
|                     | AN |                    |            |                          |       |              |                               |

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## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NUMBER | DATE       | COUNTRY | TRANSLATION |    |
|----|----|--------------------|------------|---------|-------------|----|
|    |    |                    |            |         | YES         | NO |
| YQ | AO | WO 02/23331        | 03/21/2002 | WIPO    |             |    |
|    | AP |                    |            |         |             |    |
|    | AQ |                    |            |         |             |    |
|    | AR |                    |            |         |             |    |
|    | AS |                    |            |         |             |    |
|    | AT |                    |            |         |             |    |
|    | AU |                    |            |         |             |    |
|    | AV |                    |            |         |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | AW |  |
|  | AX |  |
|  | AY |  |
|  | AZ |  |

☐ Additional References sheet(s) attached

Examiner

Date Considered 2/15/88

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**LIST OF RELATED CASES**

|    | <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Inventor/<br/>Applicant</u> |
|----|----------------------|------------------------------------|---------------------------------|--------------------------------|
| YQ | 240554US2*           | 10/627,731                         | 07/28/03                        | AKIYOSHI et al.                |
| YQ | 250370US2            | 10/798,437                         | 03/12/04                        | TANAKA et al.                  |

*Trang Qin*  
2/15/08

\*Present Application; listed for information


GJM/akh

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**LIST OF RELATED CASES**

|    | <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Inventor/<br/>Applicant</u> |
|----|----------------------|------------------------------------|---------------------------------|--------------------------------|
| ya | 240554US2*           | 10/627,731                         | 07/28/03                        | AKIYOSHI et al.                |
| ya | 250937US2            | 10/807,323                         | 03/24/04                        | ANDO                           |

  
Yixing Qin  
2/15/08

\*Present Application; listed for information


GJM/sba

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**LIST OF RELATED CASES**

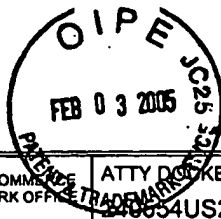
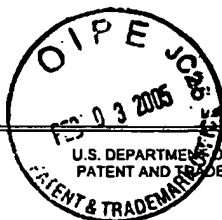
|    | <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Patent Appl.<br/>Publication No.</u> | <u>Inventor/<br/>Applicant</u> |
|----|----------------------|------------------------------------|---------------------------------|---|--------------------------------|
| YQ | 240554US2*           | 10/627,731                         | 07/28/03                        | 2004/0075857                            | AKIYOSHI et al.                |
| YQ | 256489US2            | 10/900,098                         | 07/28/04                        |   | OHISHI et al.                  |

  
Yixing Qin  
2/15/08

\*Present Application; listed for information

GJM/sch

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SHEET 1 OF 1

Form PTO 1449  
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PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
240654US2SERIAL NO.  
10/627,731

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kunihiro AKIYOSHI, et al.

FILING DATE  
July 28, 2003GROUP  
2622

## U.S. PATENT DOCUMENTS

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|---------------------|----|--------------------|------------|------------------|-------|--------------|-------------------------------|
| <del>YQ</del>       | AA | 5,668,999          | 09/16/1997 | James A. GOSLING |       |              |                               |
|                     | AB |                    |            |                  |       |              |                               |
|                     | AC |                    |            |                  |       |              |                               |
|                     | AD |                    |            |                  |       |              |                               |
|                     | AE |                    |            |                  |       |              |                               |
|                     | AF |                    |            |                  |       |              |                               |
|                     | AG |                    |            |                  |       |              |                               |
|                     | AH |                    |            |                  |       |              |                               |
|                     | AI |                    |            |                  |       |              |                               |
|                     | AJ |                    |            |                  |       |              |                               |
|                     | AK |                    |            |                  |       |              |                               |
|                     | AL |                    |            |                  |       |              |                               |
|                     | AM |                    |            |                  |       |              |                               |
|                     | AN |                    |            |                  |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|               |    | DOCUMENT<br>NUMBER | DATE       | COUNTRY | TRANSLATION |    |
|---------------|----|--------------------|------------|---------|-------------|----|
|               |    |                    |            |         | YES         | NO |
| <del>YQ</del> | AO | 199 26 467 C1      | 01/11/2001 | GERMANY |             | X  |
|               | AP |                    |            |         |             |    |
|               | AQ |                    |            |         |             |    |
|               | AR |                    |            |         |             |    |
|               | AS |                    |            |         |             |    |
|               | AT |                    |            |         |             |    |
|               | AU |                    |            |         |             |    |
|               | AV |                    |            |         |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

|               |    |  |  |  |  |  |
|---------------|----|--|--|--|--|--|
| <del>YQ</del> | AW | ANONYMOUS: "Implicit Version Control for ODBC/CLI Application", Research Disclosure, no. 449, XP-002312112, Article No. 449165, September 1, 2001, 4 pages |  |  |  |  |
|               | AX |  |  |  |  |  |
|               | AY |  |  |  |  |  |
|               | AZ |  |  |  |  | <input type="checkbox"/> Additional References sheet(s) attached |

Examiner


Date Considered 2/15/08

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**LIST OF RELATED CASES**

|    | <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Patent Appl.<br/>Publication No.</u> | <u>Inventor/<br/>Applicant</u> |
|----|----------------------|------------------------------------|---------------------------------|---|--------------------------------|
| YQ | 240554US2*           | 10/627,731                         | 07/28/03                        | 2004/0075857                            | AKIYOSHI, et al.               |
| YQ | 277754US2            | 11/216,013                         | 09/01/05                        |   | ANDO et al.                    |

  
Yixing Qin  
2/18/08

\*Present Application; listed for information


GJM/abd/akh

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**LIST OF RELATED CASES**

| <u>Docket No.</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Patent App.<br/>Publication No.</u> | <u>Inventor or<br/>Applicant</u> |
|-------------------|------------------------------------|---------------------------------|--|----------------------------------|
| XQ 240554US2*     | 10/627,731                         | 07/28/03                        | 2004/0075857 A1                        | AKIYOSHI et al.                  |
| YQ 279806US2      | 11/250,405                         | 10/17/05                        |  | SHIMIZU                          |

  
Xixing Qin  
2/15/08

\*Present Application; listed for information

GJM/ssn/rmn

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Docket No.: 240554US2

Serial No.: 10/627,731

Inventor: Kunihiro AKIYOSHI, et al.

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: July 28, 2003

Group: 2624

**LIST OF RELATED CASES**

| <u>Examiner<br/>Initial</u> | <u>Docket No.</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Patent App.<br/>Publication No.</u> | <u>Inventor or<br/>Applicant</u> |
|-----------------------------|-------------------|------------------------------------|---------------------------------|--|----------------------------------|
| YQ                          | 240554US2*        | 10/627,731                         | 07/28/03                        | 2004-0075857                           | AKIYOSHI, et al.                 |
| YQ                          | 294990US2         | 11/502,516                         | 08/11/06                        |  | TANAKA                           |
| YQ                          | 295540US2         | 11/509,601                         | 08/25/06                        |  | ANDO, et al.                     |

Examiner

Date Considered

2/15/08

\*Present Application; listed for information

JJK/sch/nle

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Docket No.: 240554US2

Serial No.: 10/627,731

Inventor: Kunihiro AKIYOSHI, et al.

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: July 28, 2003

Group: 2624

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|-----------------------------|-------------------|------------------------------------|---------------------------------|--|----------------------------------|
| YQ                          | 240554US2*        | 10/627,731                         | 07/28/03                        | 2004/0075857                           | AKIYOSHI, et al.                 |
| YQ                          | 296436US28        | 11/521,495                         | 09/15/06                        |  | ANDO                             |
| YQ                          | 296442US28        | 11/521,567                         | 09/15/06                        |  | ANDO                             |

Examiner

Date Considered 2/15/08

\*Present Application; listed for information

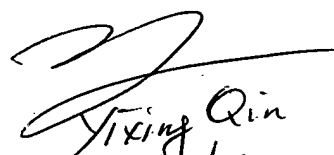
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**LIST OF RELATED CASES**

|    | <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Inventor/<br/>Applicant</u> |
|----|----------------------|------------------------------------|---------------------------------|--------------------------------|
| YQ | 229685US2            | 10/274,882                         | 10/22/02                        | AKIYOSHI                       |
| YQ | 240554US2*           | 10/627,731                         | 07/28/03                        | AKIYOSHI et al.                |

  
Yixing Qin  
2/28/08

\*Present Application; listed for information

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